

01/03/02



Based on Form PTO-1082

01-07-02
UTILITY DOCKET NO.: M1971-1069

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11/17 U.S. PTO
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THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
U.S. PATENT APPLICATION

EXPRESS MAIL LABEL NO. EL 915059642 US

MAIL DATE: Jan 3, 2002
BY: M. Goldstein
Margaret Goldstein

Sir:

37 CFR 1.53(b) UTILITY PATENT APPLICATION TRANSMITTAL

Inventor(s): **Hiroyuki UWAZUMI, Tadaaki OIKAWA, Takahiro SHIMIZU and Naoki TAKIZAWA**

Title: **MAGNETIC RECORDING MEDIUM, METHOD FOR MANUFACTURING A MAGNETIC RECORDING MEDIUM AND MAGNETIC RECORDING DEVICE**

Enclosed are:

- ☒ Specification (32 pages), including Abstract and 18 claims.
- ☒ 1 sheets of drawing containing 2 Figure (Formal).
- ☐ Declaration/Power of Attorney
- ☒ This application is filed pursuant to 37 CFR §1.53(b). The Declaration will be filed pursuant to 37 CFR §1.63.
- ☐ Assignment and PTO-1595 Cover Sheet
- ☐ A Verified Statement to Establish Small Entity Status Under 37 CFR 1.9 and 37 CFR 1.27
- ☐ IDS and Form PTO-1449 with four references.
- ☐ Preliminary Amendment Under MPEP 506 to reduce filing fees.
- ☒ Certified copy of Priority Document(s) for 35 USC 119 priority claim: JAPAN 2001-000748 filed January 5, 2000

The filing fee has been calculated as shown below:

	(Col. 1)	(Col.2)
FOR:	NO. FILED	NO. EXTRA *
BASIC FEE		
TOTAL CLAIMS	18 - 20 =	*
INDEP CLAIMS	6 - 3 =	* 3
[] MULTIPLE DEPENDENT CLAIM PRESENTED		
[X] LATE FILING OF DECLARATION		
[X] ASSIGNMENT RECORDATION FEE		

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	FEE		RATE	FEE
	\$ 370	OR		\$ 740
X 9 =	\$	OR	X 18 =	\$
X 42 =	\$	OR	X 84 =	\$ 252
+ 135 =	\$	OR	+ 270 =	\$
+ 65 =	\$		+ 130 =	\$ 130
+ 40 =	\$		+ 40 =	\$ 40
TOTAL	\$	OR	TOTAL	\$1162

☒ The filing fee will be paid with our Response to Missing Parts of Application.

☐ Please charge my Deposit Account No. _____ in the amount of \$ _____. A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$ _____ to cover the filing fee and assignment recordation fee is enclosed.

☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-4550:

☐ Any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

Andrew F. Young

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Mt. Vernon, New York 10550
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Dated:

1/3/01